



Periodic Update #10

June 25, 2023

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I. Overview

In accordance with Section 4.2(i) *Periodic Reports* of the Operation and Maintenance Agreement (OMA), Genera PR LLC (Genera) hereby submits to the Puerto Rico Public-Private Partnership Authority (P3A) the Periodic Report #10 which includes updates on the Mobilization Plan, completed obligation and provides updates on the Handover Checklist per Section 4.2(b) *Handover Checklist* of the OMA. Information regarding the Handover Checklist and Media Communications is in the appendices.

II. Executive Summary

The Periodic Report #10 for June 25, 2023, includes Genera's progress through the mobilization phase. As of Friday, June 23rd 2023, 615 offers have been accepted. Over 560 employees are focused on the operation of the generation at plants located through the island. Genera continues focusing efforts on recruiting local experts to fill roles within operations and functional support. Attention is dedicated as well to the day of transition of operations with great coordination with plants leaders. Genera is ready to welcome the over 600 employees that will be onboarding at commencement day.

III. Commercial Report

Completed Mobilization Period OMA Obligations

This section shows the OMA obligations completed in the past two weeks only. All previous weeks' completed OMA obligations have been removed to minimize the length of the report.

Section	Description	Responsible Party	Status
4.2(j)	Consumables, Spare Parts and Capital Spare Parts	Genera PR LLC (Operator)	Completed
4.2(p)	Procurement Manual	Genera PR LLC (Operator)	Completed
4.2(r)	Invoice Review and Approval Procedures Manual	Genera PR LLC (Operator)	Completed
4.2(u)	Federally Funded Generation Project Plan	Genera PR LLC (Operator)	Completed
4.5(a)	Joinder Agreement to PREPA-Genco-Hydroco	General PR LLC (Operator), LUMA (T&D), PREPA (Owner), P3A (Administrator)	Completed

IV. Mobilization Process Update

Personnel and Training

- Operator Training Program was approved by P3A on May 31, 2023.

Stakeholder Engagement

- Attended Fema's Power Stabilization Task Force weekly meeting
- Attended intergovernmental task force seminar on disaster preparedness coordination
- Attended Genera/Luma communications team alignment meeting
- Met with PR Federal Affairs Office Director
- Met with Vicepresident of PR Senate
- Office roadshow with Governor's Chief of Staff and update on transition process
- Organized supplier's meet & greet and Genera presentation of Procurement team
- Continued development of next phase of Genera website

Information Technology

- Genera domain accounts have been created and provisioned for all 559 transition employees; testing remains in progress.
- Software application access for new Genera employees continues to be configured and all relevant use cases have been tested for completeness and verification.
- A remote network and application access platform offered by LUMA has been established for new Genera employees without PREPA domain & network access; it has also been tested for transition employees to confirm workability for Commencement.
- Application permissions and security profiles continue to be worked through internally with Genera stakeholders (e.g., Contract, Invoice, and Purchase Order DOA responsibilities in Asset Suite), and LUMA continues to support the effort on the application layer.
- Videoconferencing and operational data displays are in the process of being set up at Genera HQ.
- Genera Intranet employee page and website was developed and deployed internally with access to all employees being tested.
- Timekeeping and biometric clocks have been tested for appropriate configurations and are being deployed to work sites.
- Cybersecurity plan was refined and further collaboration for the security of data and communications supporting the electric system remains in progress. Communications plans including outage response and escalation procedures are near complete and are being reviewed by relevant stakeholders.
- Access to “OSI-PI” continues to be a challenge with existing ownership of the system not being transitioned to Genera for administration responsibility.
- An additional 60 workstations have been procured and are in the process of being configured and deployed at Genera HQ.

- Transition plan covering network separation continues to be refined and reviewed with all stakeholders. This will begin to be executed upon starting on Commencement.

Inventory

A final spare parts list was submitted to P3A on June 13, 2023. P3A comments were incorporated in the final submittal.

Finance, Procurement & Accounting

1. FY2024 GenCo Operating Budget:
 - a. Finalized the reappropriation of line items following communication from Luma of an \$11m increase to insurance expense which is included in the shared services fees and submitted to PREB
2. Fiscal Plan 2024
 - a. On-going development of supporting schedules and analysis of cost analysis for the fiscal plan
3. Rate Case August 2023:
 - a. On-going discussions with LUMA for development of the 2023 rate case
 - b. Developing budget assumptions for FY 2025
4. Working with PREPA & LUMA for cut-over procedures
 - a. Reviewing the list of open PO, projects, and contracts from LUMA. Working to review the list to determine transactions that will be pen at cut-over and assigning the appropriate cut-off.
 - b. Reconciling open POs, projects, and contracts to cash on hand to determine appropriate funding within the service accounts.
 - c. On-going to document desk-top procedures for commencement, clarifying roles and responsibilities of each team – P&L split is finalized, project accounting and balance sheet accounts are substantially completed.
 - d. All service accounts have been funded.
5. Invoice manual submitted to P3A – call to discuss comments scheduled for 6.23.2023



Environmental Permitting/ Safety Programs

Although coordination meetings continue with current PREPA staff and there are some loose ends to address, Genera is materially complete with efforts necessary for service commencement. Genera has completed the efforts necessary to implement and go live with the centralized HSSE management system – SMS360. This will only be able to be fully implemented after service commencement as user profiles will be activated at that time. Compliance actions have been reviewed and mapped out, uploaded into SMS360.



V. Expenditures Update

Note to report: Genera recognizes the requirements to report on mobilization budget analysis as outlined in Appendix IX Section V(B)(3) Accounting and Reporting and will provide monthly reports as required. Below is the budget and analysis to date.

Genera - Mobilization Phase | Weekly Finance Summary

ID #	Budget Category	Budget		Paid to Date	Remaining (Unpaid)	
		Orig Budget	Forecast	Actual Paid	Committed (via PO)	Uncomm. (no PO)
100	Communications	250,000	247,740	112,703	147,532	—
200	Facilities	200,000	52,256	32,000	20,256	—
300	Finance	360,000	521,000	154,000	367,000	—
400	HSSEQ	790,000	822,537	67,317	671,525	83,695
500	Human Resources	1,420,000	1,511,942	698,612	813,330	—
600	Insurance	50,000	43,196	43,196	43,193	—
700	IT	900,000	900,000	10,920	681,073	208,007
800	Legal & Compliance	3,600,000	1,750,000	849,466	849,466	51,069
900	Power	6,100,000	7,867,212	2,330,768	5,536,444	—
1000	Procurement	530,000	400,000	75,000	325,000	—
1100	T&E	300,000	376,806	389,000	—	—
1200	Project Management Fees	500,000	525,996	520,000	—	5,996
Total		15,000,000	15,018,684	5,282,982	9,454,818	348,766



VI. Submissions Update

Transmittals – April 24, 2023, to May 10, 2023

Name	Description	Sending Org.	Receiving Org.	Genera Dept.	Created
GENERA-P3A-PREPA-00011	Letter Litigation Management	Genera	PREPA/P3A	PM	6/9/2023
GENERA-P3A-PREB-00005	Recommendation of Consumables, Spare Parts and Capital Spare Parts Resubmission	Genera	P3A	PM	6/13/2023
GENERA-PREPA-00038	Notice of Genera Communications Protocol	Genera	PREPA	PM	6/13/2023
GENERA-P3A-00027	Approval Invoice Manual Submission	Genera	P3A	PM	6/13/2023
GENERA-PREPA-00039	Letter Asset Segregation Request	Genera	PREPA	PM	6/14/2023
GENERA-PREPA-00040	Request RFP for HFO bid change of date	Genera	PREPA	PM	6/14/2023
GENERA-PREPA-00041	Request for Information #9	Genera	Genera	PM	6/16/2023
GENERA-PREPA-00042	Letter requesting Cancellation of the ULSD RFP	Genera	PREPA	PM	6/16/2023
GENERA-P3A-00027	Letter to P3A Federally Funded Federation Project Plan Submission	Genera	P3A	PM	6/21/2023
GENERA-P3A-00028	Letter Annual Performance Test Execution Plan	Genera	P3A	PM	6/21/2023
P3A-GENERA-00022	FOMB Protocol Agreement	P3A	Genera	PM	6/21/2023



P3A-GENERA-00023	LGA O&M Facility Contract Review Request (BR&A)	P3A	Genera	PM	6/21/2023
P3A-GENERA-00024	LGA O&M Facility Contract Review Request (VibrAnalysis)	P3A	Genera	PM	6/21/2023
P3A-GENERA-00025	LGA O&M Facility Contract Review Request (CPM)	P3A	Genera	PM	6/21/2023
P3A-GENERA-00026	LGA O&M Facility Contract Review Request (Seboruco)	P3A	Genera	PM	6/21/2023
P3A-GENERA-00027	Genera PR Procurement Manual (comments)	P3A	Genera	PM	6/22/2023
P3A-GENERA-00028	LGA O&M Facility Contract Review Request (Hogan Lovells)	P3A	Genera	PM	6/25/2023
P3A-GENERA-00029	LGA O&M Facility Contract Review Request (TetraTech)	P3A	Genera	PM	6/25/2023

VII. Areas of Concern / Items of Interest

Areas of concern

1. Facility Contracts (including receipt of copies, delivery of notices to relevant counterparties, any consents required thereunder and approval of amendments, etc.)
2. Procurement Manual Approval
3. Delivery of Fuel
4. Invoice Review & Procedures Manual Approval
5. Timing for PREB Hearing for Providing Approvals (addresses Spare Parts List, Emergency Response Plan, Federally Funded Generation Project Plan and Annual Performance Test)
6. Delivery of pending Governmental Approvals and confirmation of governmental agency counterparty acknowledgment of transfer of operation
7. FOMB Protocol Agreement Execution
8. Tax Decree

Appendixes

A. Mobilization Stakeholder Engagement

Mobilization Stakeholder Engagement		In Contact	Initial Briefing	Regular Dialogue	Notes
1 Federal Agencies					
2	FEMA	Yes	Yes	Yes	Weekly Grid Stabilization Task Force, Disaster Preparedness, BEOC meetings
3	DOE	Yes	Yes	Yes	Meeting with DOE Secretary/Multiple meetings/briefings with DOE PR Task Force/Genera Home Office Roadshow
4	US Army Corps	Yes	Yes	Yes	Multiple Meetings
5	EPA	Yes	Yes	Yes	Meeting with EPA Caribbean Director
6	White House	Yes	No	No	
7	FBI	No	No	No	Meeting to be scheduled
8	Homeland Security	Yes	Yes	Yes	Meeting/briefing
9	OSHA	Yes	Yes	No	
10	DOJ	No	No	No	
11	FOMB	Yes	Yes	Yes	Meeting/Briefing with FOMB Executive Director
12 Puerto Rico Agencies / Energy Stakeholders					
13	P3A	Yes	Yes	Yes	Weekly Coordination Meetings/Genera Home Office Roadshow
14	Governor Pedro Pierluisi	Yes	Yes	Yes	Meetings/Briefings on progress
15	Governor's Chief of Staff	Yes	Yes	Yes	Meetings/Briefings on progress/Genera Home Office Roadshow
16	Governor's Energy Affairs Office Director	Yes	Yes	Yes	Meetings/Briefings on progress/Fuel Emergency Task Force meeting/Genera Home Office Roadshow
17	PR Ports Authority	Yes	Yes	Yes	Meeting/Briefing
18	PREPA	Yes	Yes	Yes	Daily Meetings, Bi-Weekly Coordination Meetings/Comms teams alignment meeting
19	LUMA	Yes	Yes	Yes	Daily Meetings, Weekly Coordination Meetings/Genera Home Office Roadshow and introduction to new Luma CEO/Comms teams alignment meetings
20	AAFAF	Yes	Yes	Yes	Regular Meetings
21	COR3	Yes	Yes	Yes	Weekly Meetings/Genera Home Office Roadshow
22	PREB	Yes	Yes	Yes	Briefings/Reporting
23	PUBLIC SECURITY UMBRELLA COMMISSIONER	Yes	Yes	Yes	Meeting/briefing on transition and security measures, meeting on Fuel Emergency Task Force
24	PR Police Department	Yes	Yes	Yes	Meeting/briefing on transition and security measures, coordinating with PR on Service Commencement Day
25	AEMED (PR EMERGENCY MANAGEMENT AGENCY)	Yes	Yes	Yes	Meeting/briefing on transition and Hurricane preparedness/2 day seminar on Government Emergency Management coordination/Constant communication on EOC protocols on Hurricane Bret/Meeting on Fuel Emergency Task Force
26	PR Treasury Department	Yes	Yes	No	Meeting/Briefing on progress
27	PRDRNA	No	No	No	Meeting to be scheduled
28 US Congress					
29	House Committee on Natural Resources	Yes	No	No	Reached out, haven't scheduled meetings yet
30	PRAFAA	Yes	Yes	Yes	Meeting/briefing on transition progress/Roadshow
31	Senate Committee for Energy a& Natural Resources	Yes	Yes	No	Met with individual Senators, not committee staff
32	Hispanic Caucus	No	No	No	pending to schedule
33	Rep. Jennifer González Colón	Yes	No	Yes	Scheduling first briefing
34 PR Legislature					
35	President of the House	Yes	Yes	No	Transition Meeting/brefing with Rafael Tatito Hernandez/Visits to Cambalache and Aguirre
36	President of the Senate	Yes	Yes	No	Meeting/briefing with President of the Senate
37	Vicepresident of the Senate	Yes	Yes	No	Meeting/briefing
38	House Majority Leader	Yes	Yes	No	Initial conversations
39	Senate Majority Leader	Yes	Yes	No	Meeting/briefing with Sen. Javier Aponte Dalmau
40	House Minority Leader	Yes	Yes	No	Meeting/briefing with Rep. Johnny Mendez and Rep. Victor Perez
41	Rep. Eddie Charbonier, Rep. Victor Perez, Rep. Jose Hernandez	Yes	Yes	No	Meeting/briefing
42	Rep. Jose Pichy Torres	Yes	Yes	No	Meeting/briefing
43	Rep. Jose Quiquito Melendez	Yes	Yes	No	Meeting/briefing
44	Rep. Jose Memo Gonzalez	Yes	Yes	Yes	Attended public hearing on Prepa/Arecibo project

45	Chair of Labor Committee-House	Yes	Yes	No	Ask to be updated after offer letters process to plant employees
	Chair of Preparation, Reconstruction and Reorganization				
46	Committee-House	Yes	Yes	No	Meeting/briefing with Rep. Luis Ortiz
47	Senate Minority Leader	Yes	Yes	No	Meeting/briefing with Sen. Thomas Rivera Schatz
48	Senator Vargas Vidot	Yes	Yes	No	Meeting/briefing
49	Senate Energy Commission	Yes	Yes	No	Attended Public Hearing on May 9th.
50	House Energy Commission	Yes	Yes	Yes	Public Hearing 2/9; Responded to multiple RFI's
51	House Joint Commission Public Private Alliances	Yes	Yes	Yes	Plant tour Cambalache
52	Puerto Rico Municipalities				
53	Association of Mayors	Yes	No	No	Scheduling first briefing
54	Federation of Mayors	Yes	Yes	Yes	Meeting/Briefing with president/Mayor of Camuy
55	Mayor of Guayanilla	Yes	Yes	No	Meeting/Briefing
56	Mayor of Penuelas	Yes	yes	No	Meeting/Briefing
57	Mayor of Caguas	Yes	Yes	No	Meeting and briefing
58	Mayor of Salinas	Yes	Yes	No	Scheduling 2nd Meeting with Ops team on Aguirre
59	Mayor of Humacao	Yes	Yes	No	Meeting and briefing
60	Mayor of Naguabo	Yes	Yes	No	Meeting and briefing
61	Mayor of Vega Alta	Yes	Yes	No	Agreed on keeping constant communication on plant operation
62	Mayor of Toa Baja	Yes	Yes	No	Agreed on keeping constant communication on Palo Seco and will address issue of plant water pumps
63	Mayor of Loiza	Yes	Yes	No	Meeting and briefing
64	Mayor of San Juan	Yes	Yes	Yes	Meeting and briefing
65	Private Sector Stakeholders & Academia				
66	PR Manufacturers Association	Yes	Yes	Yes	Official Genera Presentation/Participation on Energy Panel at Annual Convention
67	PR Pharmaceutical Industry Association (PIA)	Yes	Yes	No	Official Genera Presentation to the board and Plants Facilities and Government Relations teams
68	PR Colegio de Ingenieros y Agrimensores	No	No	No	
69	PR Colegio de Contadores Publicos Autorizados	Yes	No	No	
70	PR Colegio de Peritos Electricistas	No	No	No	
71	PR Telecommunications Alliance	Yes	No	No	Presentation to be scheduled
72	PR Chamber of Commerce	Yes	Yes	Yes	Official Genera Presentation/Participation on Energy and PPA's Panels at Annual Convention
73	PR Navieros Association	Yes	Yes	Yes	Official Genera Presentation, update on Hurricane preparedness
74	PR Retailers Association	Yes	Yes	No	Official Genera Presentation/Attended May 2023 board meeting to discuss status on Legacy Generation Assets
75	PR Bankers Association	Yes	No	No	Initial contact and scheduling presentation to the board
76	PR Hotels & Tourism Association	Yes	Yes	No	Official Genera Presentation
77	Colegio de Ingenieros y Agrimensores	Yes	No	No	
78	PR Automobile Industry Association	Yes	No	No	
79	PR Hospital Association	Yes	No	No	
80	PR Farm Bureau	Yes	No	No	
81	PR Construction Materials Association	Yes	Yes	No	Official Genera Presentation
82	PR 100	Yes	Yes	No	Attending group meetings
83	Justicia Energetica	Yes	Yes	No	Official Genera Presentation
84	PR Restaurants Association	Yes	Yes	Yes	Official Genera Presentation
85	Made in PR Association	Yes	Yes	Yes	Official Genera Presentation/Suppliers Kick Off event
86	PR Small Business Association	Yes	No	No	
87	PR Builders Association	Yes	Yes	No	Participation in Energy Panel on March 28. Presentation to the board on May 3
88	Associated General Contractors (AGC) PR Chapter	Yes	Yes	No	Meeting and briefing with President and Executive Committee
89	PR Food Wholesalers and Supermakets Association	Yes	No	No	
90	AES	Yes	Yes	Yes	Meeting with president of AES
91	Eco Electrica	Yes	No	No	
92	PR Coalition for Food Security	Yes	No	No	
93	SESA Solar & Energy Storage Association	Yes	No	No	
94	US-Lulac	No	No	No	
95	US-Unidos	No	No	No	
96	US-Hispanic Federation	Yes	No	No	
97	US-National Hispanic Caucus of State Legislators (NHCSL)	Yes	Yes	No	Represented Genera at NHCSL energy session
98	PR Energy System Recovery & Resilience Advisory Group	Yes	No	No	
99	South East & Caribbean Disaster Resilience Partnership	Yes	No	No	
100	BEOC (PR Business Emergency Operations Center)	Yes	No	No	Attended FEMA/BEOC Energy & Fuel Sector Meeting

101	University of PR (Mayaguez Engineering School)	Yes	No	No	
102	Ana G. Mendez University (Engineering School)	No	No	No	
103	Politechnical Universty (Engineering School)	Yes	Yes	No	Working on MOU for engineering students practice
104	PR Rotary Club	Yes	Yes	No	Participation in Energy Panel
105	Puma Energy Company	Yes	Yes	Yes	Official Genera Introduction with PUMA/TEXACO Management team
106	Media	Yes	Yes	No	Weekly interviews updating on transition progress with radio, tv and printed media outlets
	Invest PR	Yes	Yes	No	Official Genera Presentation

B. Handover Checklist Update

	PRELIMINARY HANDOVER CHECKLIST ITEM	REQUIRED FOR OPERATIONS PHASE?	COMPLETED? (Y/N)	GENERA COMMENTS
<input type="checkbox"/>	Staffing completed	Y	N	Genera has hired sufficient staffing to allow for safe operations of the Legacy Generation Assets including filling 288 of 318 Critical Employee Positions; a hiring plan is in place for the few remaining vacant roles, including with respect to Consent Decree-related positions.
<input type="checkbox"/>	Orientation to Genera PR completed (includes detailed role and responsibility training)	N	N	Genera cannot complete workforce orientation until after Service Commencement because certain employees will still be employed by PREPA prior to the Service Commencement Date ("SCD") and because certain orientation activities require on-site demonstrations and training.
<input type="checkbox"/>	Skill assessment completed	N	N	Genera has performed a pre-skills Assessment but cannot complete the full skills assessment until after the SCD when on-site assessments can be conducted.
<input type="checkbox"/>	Training and development plans created	Y	Y	Genera has developed a Training program that is ready for implementation.
<input type="checkbox"/>	Training commenced (program in place)	N	N	The training program has been developed, but Genera cannot fully complete the workforce training until operations transition to Genera on the SCD because the on-site training must occur once access to site is available.

	PRELIMINARY HANDOVER CHECKLIST ITEM	REQUIRED FOR OPERATIONS PHASE?	COMPLETED? (Y/N)	GENERA COMMENTS
<input type="checkbox"/>	Site/department procedures exist and are in use, including applicable Emergency Response Plans and fuel supply operations and maintenance procedures applicable to the site	Y	N	Genera believes that it has identified critical site level documents and developed an Emergency Response Plan, but PREPA's failure to share applicable fuel supply procedures in compliance with the requirement under 4.3(j) of the OMA has precluded completion of this task.
<input type="checkbox"/>	New procedures created (if applicable)	N	N	Not applicable.
<input type="checkbox"/>	New personnel trained on site/ department procedures	N	N	The training program has been developed, but Genera cannot fully complete the workforce training until operations transition to Genera on the SCD because the on-site training must occur once access to site is available.
<input type="checkbox"/>	Review of applicable contracts	N	N	PREPA's failure to provide complete copies of contracts and the other required documents is preventing Genera from completing this task.
<input type="checkbox"/>	Existing contracts either remain in place, renewed, or	N	N	PREPA's failure to provide complete copies of contracts and the other required documents is preventing Genera from completing this task.
<input type="checkbox"/>	Meet with contractor partners and prepare to support operation and maintenance	N	N	PREPA's failure to provide complete copies of contracts and the other required documents is preventing Genera from completing this task.
<input type="checkbox"/>	Work management system in place	N	N	PREPA has developed a management system but cannot put it into place until SCD occurs.
<input type="checkbox"/>	Project teams in place, planning items 4+ weeks out	Y	Y	Shift/staffing plan for first 4 weeks and transition plan are complete.

	PRELIMINARY HANDOVER CHECKLIST ITEM	REQUIRED FOR OPERATIONS PHASE?	COMPLETED? (Y/N)	GENERA COMMENTS
<input type="checkbox"/>	Work plans in place for first 4 weeks	N	N	Operational plans for the first 4 weeks are in place (in coordination with LUMA on dispatch requirements); Genera will need to be on site to validate work plans for the first 4 weeks to ensure that they can be completed.
<input type="checkbox"/>	Introductory meeting with Plant Technical Services lead and the Pod Manager supporting the specific plant	Y	Y	
<input type="checkbox"/>	Plant Manager and Pod Manager agreed on and formed project teams	Y	Y	
<input type="checkbox"/>	Permits reviewed with PREPA functional area leads	Y	Y	PREPA has failed to provide all permits but Genera has a plan in place to obtain those pending permits, and the permits Genera is pending to receive are not material for operations at this stage.
<input type="checkbox"/>	Risk assessments reviewed with PREPA functional area leads and updated	Y	N	PREPA has failed to provide all permits and those that were provided were materially incomplete and as a result, Genera has not been able to complete this task or to meet with the functional area leads due to delayed or insufficient information from PREPA.
<input type="checkbox"/>	Permits reviewed and deconstructed with HSSEQ support	Y	N	All permits that were provided have been reviewed and deconstructed; however PREPA has failed to provide all permits and as a result, Genera has not been able to complete this task due to delayed or insufficient information from PREPA.
<input type="checkbox"/>	Current compliance status reviewed with HSSEQ support	Y	N	All permits that were provided have been reviewed with HSSEQ support; however PREPA has failed to provide all permits and as a result, Genera has not been able to complete this task due to delayed or insufficient information from PREPA.

	PRELIMINARY HANDOVER CHECKLIST ITEM	REQUIRED FOR OPERATIONS PHASE?	COMPLETED? (Y/N)	GENERA COMMENTS
<input type="checkbox"/>	Permits reviewed with regulators	N	N	Because of PREPA's delays in notifying agency counterparties, only one applicable regulatory agency (the U.S. EPA) has acknowledged Genera's proposed takeover of the Legacy Generation Assets and as a result, Genera has not been able to complete this task.
<input type="checkbox"/>	Established DOA from PREPA to Genera PR and reassign account access where applicable	N	N	Genera unable to confirm status until acknowledged by PREPA.
<input type="checkbox"/>	Filed authorized signatory filings	N	N	Genera unable to confirm status until acknowledged by PREPA.
<input type="checkbox"/>	Communication established with LUMA dispatch (agree to initial Agreed Operating Procedures set in the Gridco-Genco Operating Agreement)	Y	Y	Genera has established a line of communication with LUMA on dispatch matters and is continuing to evaluate the Agreed Operating Procedures in place and will continue coordinating with LUMA on these matters.
<input type="checkbox"/>	Jointly, with LUMA, agree to the requirements and procedures for the Annual Performance Test and heat rate testing for each unit and provide such to PREB for review	N	N	Genera has submitted this Annual Performance Test and is working with LUMA and PREB to finalize the procedures.
<input type="checkbox"/>	Review and agree with LUMA the dispatch schedule for first 4 weeks and ready to support	Y	Y	
<input type="checkbox"/>	Fuel inventory adequate for dispatch schedule	Y	N	Genera has not been able to complete this task due to delayed or insufficient information from PREPA.

	PRELIMINARY HANDOVER CHECKLIST ITEM	REQUIRED FOR OPERATIONS PHASE?	COMPLETED? (Y/N)	GENERA COMMENTS
<input type="checkbox"/>	Fuel delivery schedule adequate to match long-term dispatch projection	N	N	Genera has not been able to complete this task due to delayed or insufficient information from PREPA, but Genera does not consider long-term dispatch matching to be required for handover of operations.
<input type="checkbox"/>	Site/team spare parts/inventory reviewed with PREPA counterpart	N	N	Genera is continuing to work with P3A to finalize spare parts list.
<input type="checkbox"/>	Inventory is understood and support continued operation	Y	Y	
<input type="checkbox"/>	Begin the process for transitioning FCC license for all radios	Y	Y	
<input type="checkbox"/>	Identified all necessary consumables, spare parts and capital spares needed for first 12 months of operation and communicated them to Owner and to PREB	N	N	Genera is continuing to work with P3A to finalize the spare parts list.
<input type="checkbox"/>	Established communication with potential site-level union officials	N	N	Genera has not identified a direct need at this stage for proactive engagement, but there have been conversations held historically with the union.
<input type="checkbox"/>	Site or team level safety team formed and trained on Safety and Hazardous Materials Procedures Manual	N	N	The Safety and Hazardous Materials Procedures Manual is complete but training cannot be completed until after the SCD because on-site training will be required. Due to the transitioning of existing employees, training programs that are already completed and in good standing will remain in place until Genera commences its own training.

	PRELIMINARY HANDOVER CHECKLIST ITEM	REQUIRED FOR OPERATIONS PHASE?	COMPLETED? (Y/N)	GENERA COMMENTS
<input type="checkbox"/>	Genera PR and PREPA functional area leaders agreed handover is ready	Y	Y	